

## Board of Directors (Public)

### Item 4.3

**Subject:** Operational Plan 2015/16  
**Date of meeting:** 28<sup>th</sup> April 2015  
**Prepared by:** Executive Directors  
**Presented by:** Debbie Herring, Director of Strategy & Organisational Development

**board  
report**

Data Quality Rating	BAF Ref	Level of Assurance
N/A	2,3,7,8,9	Full

### 1. Background

Monitor require a one year Operational Plan only for 2015/16. This should sit within the contract of the overarching five year Strategic Plan submitted in 2014. The Operational Plan must be completed and submitted to Monitor by 14<sup>th</sup> May 2015.

The attached draft Operational Plan has been developed with input from the Board of Directors, the Council of Governors and the Operational Board. The plan summarises how the Trust intends to continue to deliver high quality and cost effective services for patients over the 2015/16 financial year. The plan also gives an assessment of the risks and challenges facing the Trust and the mitigation plans to address these areas.

In addition to submitting the plan, the Trust is asked to make several declarations alongside it. In particular, Monitor expect the Board of Directors to refresh the declaration of **Sustainability** based on the 2015/16 strategic context and make a judgement on the Trust's continual quality, operational and financial **Resilience**.

### 2. Recommendations

The Board of Directors are requested to approve the attached plan, subject to any final amendments, prior to its submission to Monitor and to complete the attached self-certification statement declaring that the Trust will be financially, operationally and clinically sustainable in one, three and five years time.

# Self Certification

## 1 Declaration of sustainability

The board declares that, on the basis of the plans as set out in this document, the Trust will be financially, operationally and clinically sustainable according to current regulatory standards in one, three and five years time.

i

Confirmed

## 2 Continuity of services condition 7 - Availability of Resources

**EITHER:**

- a After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

Confirmed

**OR**

- b After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box in section 4, below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services.

n/a

**OR**

- c In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

n/a

## 3 Declaration of interim and/or planned term support requirements

The trust forecasts a requirement for Department of Health (DH) interim support or planned term support for the year ending 31 March 2016

Note: If interim support is forecast in the plan period, but was not required in the preceding year, the trust should contact its relationship team by 31 January 2015, and before including any amounts in their plan (unless the DH has already approved the interim support funding). Further information regarding the requirements for trusts forecasting a need for DH funding support can be found in the planning guidance and template guidance.

DH support not required

## 4 Statement of main factors taken into account in making the above declaration

In making the above declaration, the main factors which have been taken into account, as stated in section 2b above, by the Board of Directors are as follows:

In signing below, the board is confirming that:

To the best of its knowledge, using its own processes and having assessed against Monitor’s Risk Assessment Framework, the financial projections and other supporting material included in the completed Annual Plan Review Financial Template represent a true and fair view, are internally consistent with the operational and, where relevant, strategic commentaries, and are based on assumptions which the board believe to be credible.

Signed on behalf of the board of directors, and having regard to the views of the governors

i

Signature

Name

Capacity

Date

Signature

Name

Capacity

Date

Validation Check  
Validation Check  
Validation Check

i	5
i	OK
i	OK